

PATENT

Attorney Docket No. 26822-0014

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application)
Inventor(s): Xianhai Chen et al.)
Application No.: to be assigned) Art Unit: to be assigned
Filed: July 15, 2003) Examiner: to be assigned
Title Microcup Compositions Having Improved Flexure)
Resistance and Release Properties)
)

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This application is a continuation of Application No. 09/840,756, filed April 23, 2001, which is relied upon for an earlier filing date under 35 USC 120.

It will be evident to the Examiner that the continuation application as filed is not a photocopy of the parent application; and in fact it represents the parent application with:

- (1) a cross-reference to the parent application inserted on page 1, paragraph 1;
- (2) paragraph numbering;
- (3) correction of clerical errors in the specification and correction to clarify certain terms (ie. "cups" should be "microcups"; and
- (4) a replacement set of Claims 1-17, which are similar to Claims 1-16 filed in the parent application. Independent Claim 1 is essentially the same as Claim 1 as allowed in the parent.

If the Examiner would like an electronic comparison of the parent application and this continuation application, he/she is invited to contact Applicants' agent, who would be pleased to prepare and provide one.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

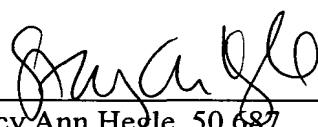
This statement qualifies under 37 C.F.R. §1.97, subsection (b) because it is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d).

Fee Authorization. The Commissioner is hereby authorized to charge the above-referenced fees of \$0.00 and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 08-1641 (Docket No. 26822-0014 C1).

Respectfully submitted,

HELLER EHRLMAN WHITE & MCAULIFFE
LLP

Dated: July 15, 2003

By: 
Stacy Ann Hegle, 50,687

275 Middlefield Road
Menlo Park, CA 94025-3506
(650) 324-7000
Customer No. 25213

INFORMATION DISCLOSURE CITATION PTO-1449	ATTY. DOCKET NO. 26822-0014 C1	SERIAL NO. To be assigned (Cont. of 09/840,756)
	APPLICANT Chen, et al.	
	FILING DATE 07/15/2003	GROUP to be assigned

U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	3,612,758	10/12/71	Evans et al.	178	5.4 R	
	3,668,106	06/06/72	Ota	204	299	
	3,697,679	10/10/72	Hathaway	178	6.6 A	
	4,071,430	01/31/78	Liebert	204	299	
	4,093,534	06/06/78	Carter et al.	350	355	
	4,285,801	08/25/81	Chiang	204	299	
	4,680,103	07/14/87	Beilin Solomon I, et al.	204	299	
	4,741,988	05/03/88	Van der Zande et al.	430	312	
	4,995,718	02/26/91	Jachimowicz et al.	353	31	
	5,276,438	01/04/94	DiSanto et al.	345	107	
	2002/018043	02/14/02	Nakanishi			

FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 99/56171	11/4/99	PCT	G02F	1/167	<input type="checkbox"/>	<input type="checkbox"/>
	WO 00/60410	10/12/00	PCT	G02F	1/167	<input type="checkbox"/>	<input type="checkbox"/>
	WO 01/67170	09/13/01	PCT	G02F	1/167	<input type="checkbox"/>	<input type="checkbox"/>
	1 089 118 A2	04/04/01	Europe	G02F	1/167	<input type="checkbox"/>	<input type="checkbox"/>
	59-171930	09/28/84	Japan	G02F	1/19	<input type="checkbox"/>	<input type="checkbox"/>

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Cominsky, B. et al., "An Electrophoretic Ink For All-Printed Reflective Electronic Displays", LETTERS TO NATURE, pp. 253-255 (1998)
	Dalisa , A.L., "Electrophoretic Display Technology", IEEE TRANSACTIONS OF ELECTRON DEVICES, July 1997, pp. 827-834.
	Drzaic, P., "Liquid Crystal Dispersion", THE PDLC PARADIGM, (1995), pp. 1-9.
	Harbour, J.R. et al., "Subdivided Electrophoretic Display", XEROX DISCLOSURE JOURNAL, Vol. 4, No. 6 (1979)

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION PTO-1449	ATTY. DOCKET NO.	SERIAL NO.	
	26822-0014 C1	To be assigned	
	APPLICANT Chen, et al.		
	FILING DATE 07/15/03	GROUP To be assigned	

U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	5,279,511	01/18/94	DiSanto et al.	445	24	
	5,380,362	01/10/95	Schubert	106	493	
	5,403,518	04/04/95	Schubert	252	572	
	5,573,711	11/12/96	Hou et al.	252	572	
	5,589,100	12/31/96	Grasso et al.	252	299.01	
	5,699,097	12/16/97	Takayama et al.	347	171	
	5,835,174	11/10/98	Clikeman et al.	349	86	
	5,914,806	06/22/99	Gordon, II et al.	359	296	

FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	1 195 603 A2	4/2002	EPO			<input type="checkbox"/>	<input type="checkbox"/>
	002284126	11/1990	Japan (Abstract) and XP-002211269			<input checked="" type="checkbox"/>	<input type="checkbox"/>
	056653	2/27/01	Japan			<input checked="" type="checkbox"/>	<input type="checkbox"/>

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Lewis, J.C., et al., "Gravitational, Inter-Particle-Electrode Forces in Electrophoretic Display", PROCEEDINGS OF THE S.I.D., Vol. 18/3&4 (1977)
	Murau, P., et al., "The Understanding and Elimination of Some Suspension Instabilities in an Electrophoretic Display", J. APPL. PHYS., 49(9), (1978)
	Nakamura, E., et al., "Development of Electrophoretic Display Using Microcapsulated Suspension", SID DIGEST, (1998), PP. 1014-1017
	Ota, I., et al., "Electrophoretic Image Display EPID Panel", PROCEEDINGS OF THE IEEE, Vol. 1, No. 7, July 1973.
	Singer, B. and Dalisa, A.L., "An X-Y Addressable Electrophoretic Display", PROCEEDING OF THE S.I.D., Vol. 18/3&4, (1977)

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION PTO-1449	ATTY. DOCKET NO.	SERIAL NO.
	26822-0014 C1	To be assigned
	APPLICANT Chen, et al.	
FILING DATE 07/15/03	GROUP To be assigned	

U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	5,976,405	11/02/99	Clikeman et al.	252	299.01	
	6,017,584	01/25/00	Albert et al.	427	213.3	
	6,037,058	03/14/00	Clikeman et al.	428	402.2	
	6,067,185	05/23/00	Albert et al.	359	296	
	6,111,598	08/29/00	Faris	348	57	
	6,113,810	09/05/00	Hou et al.	252	572	
	6,120,588	09/19/00	Jacobson	106	31.16	
	6,120,839	09/19/00	Comiskey	427	213.3	
	6,172,798	01/09/01	Albert et al.	359	296	
	6,184,856	02/06/01	Gordon, II et al.	345	107	
	6,252,624	06/26/01	Yuasa et al.	348	56	
	6,312,304	11/06/01	Duthaler et al.	445	24	
	6,327,072	12/04/01	Comiskey et al.	359	296	
	6,337,761	01/08/02	Rogers et al.	359	296	
	6,392,786	05/21/02	Albert	359	296	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Harvey, T.G., "Replication techniques for micro-optics", <i>SPIE Proc.</i> – <u>3099</u> :76-82 (1997)
	Hopper, M.A., et al., "An Electrophoretic Display, its Properties, Models and Addressing", <i>IEEE Trans. Electr. Dev.</i> – <u>26</u> (8):1148-1152 (1979)
	Slafer, W.D., et al., "Continuous Manufacturing of Thin Cover Sheet Optical Media", <i>SPIE Proc.</i> – <u>1663</u> :324-334 (1992)

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION PTO-1449		ATTY. DOCKET NO. 26822-0014 C1		SERIAL NO. To be assigned		
		APPLICANT Chen, et al.				
		FILING DATE 07/15/03		GROUP To be assigned		
		U.S. PATENT DOCUMENTS				
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	5,930,026	7/99	Jacobson, et al.			
	5,961,904	10/99	Jacobson, et al.			
	US- 2001/0055000- A1	12-2001	Kanae et al.			
	09/759,212	Filed 01/11/01	Liang, et al.			
	09/606,654	Filed 06/28/00	Liang, et al.			
	09/784,972	Filed 02/25/01	Chan-Park, M. et al.			
	09/518,488	Filed 03/3/00	Liang, et al.			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
EXAMINER		DATE CONSIDERED				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.